

# 21. Transformers & LLMs

**EECE454 Introduction to  
Machine Learning Systems**

2023 Fall, Jaeho Lee

# For two classes...

- We are going to learn basic stuffs about *modern\* language modeling*
  - **Architectures.** Transformers
  - **Training.** Autoregressive training



**How can I help you today?**

\* There are many classic gems, that take strikingly different structures

# What can language models do?

- **Sentiment Classification**

- From a customer review, infer the sentiment of the customer.

The diagram illustrates sentiment classification with three examples, each in a white box with a corresponding emoji and sentiment label:

- Positive:** A smiling yellow emoji. Text: "My experience so far has been fantastic!". Label: "POSITIVE" (green box).
- Neutral:** A neutral yellow emoji. Text: "The product is ok I guess". Label: "NEUTRAL" (yellow box).
- Negative:** An angry orange emoji. Text: "Your support team is useless". Label: "NEGATIVE" (red box).

# What can language models do?

- **Machine Translation**

- Text sentences are translated into another language.

The screenshot displays the Google Translate web interface. At the top, there are three tabs: 'Text' (selected), 'Images', and 'Documents'. Below the tabs, the source language is set to 'English' and the target language is 'German'. The input text on the left is 'I cannot speak any German, but I have been to Göttingen once as an exchange student.' The translated text on the right is 'Ich kann kein Deutsch, war aber einmal als Austauschstudentin in Göttingen.' The interface includes a microphone icon, a speaker icon, a character count '84 / 5,000', and a keyboard icon. On the right side of the translation box, there are icons for copy, share, and a star. A 'Send feedback' link is located at the bottom right of the page.

# What can language models do?

- **Chatbot**

- Generate human-like responses to given text prompts



**You**

What is the best way to explain how you work in two classes, to undergraduate students?



**ChatGPT**

Explaining how I work to undergraduate students in two classes can be broken down into a concise and clear explanation. Here's a simplified way to do it:

**Class 1: Introduction to Artificial Intelligence**

**1. Start with the Basics:**

- Begin by explaining that I am an AI language model, which means I'm a computer program designed to understand and generate human-like text.

# What can language models do?

- **Retrieval-Augmented Generation**

- Use external tools to provide a more trustworthy and up-to-date response.



**You**

Can you look up the Wikipedia and find me the entry for the country: Republic of Korea?



**ChatGPT**



Visiting [en.wikipedia.org](https://en.wikipedia.org)

# What can language models do?

- **Text-Prompted Image Generation**

- Generate an image that corresponds to the given query



You

Can you draw me a picture of a bowl of a ramen noodle?



ChatGPT



Here is the illustration of a bowl of ramen noodles that you requested.



# GitHub Copilot

GITHUB COPILOT: CHAT

GitHub Copilot

Hi @monalisa, how can I help you?

I'm powered by AI, so surprises and mistakes are possible. Make sure to verify any generated code or suggestions, and share feedback so that we can learn and improve.

Ask a question or type '/' for commands

parse\_expenses.py × addresses.rb × sentiments.ts ×

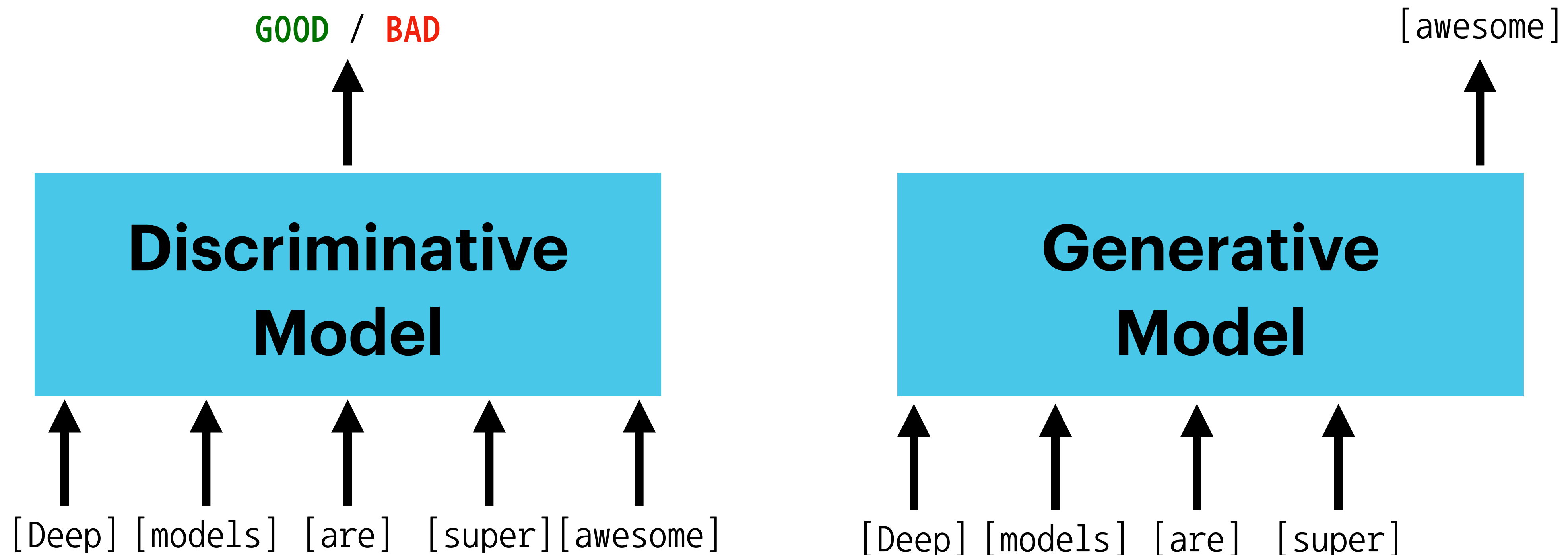
```
1 import datetime
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```



# Transformer Basics

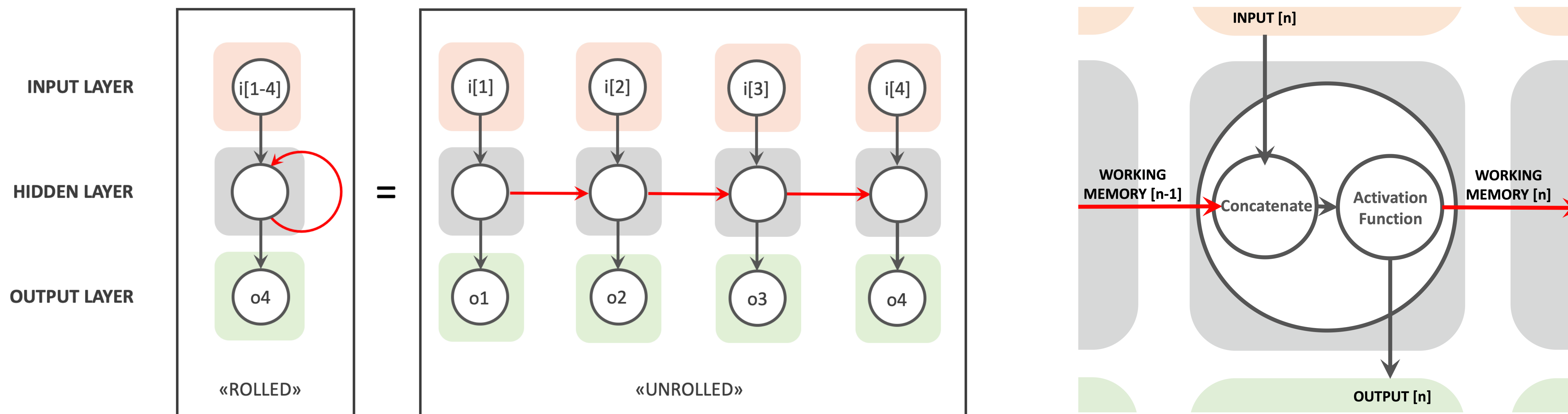
# Natural Language Processing

- **Discriminative.** Given a sequence of words, predict the output.
- **Generative.** Given a sequence of words, predict the next word.



# Past: Recurrent Neural Networks

- **Input.** The *current input* and *past state*.
- **Output.** The *current output* and the *current state*.

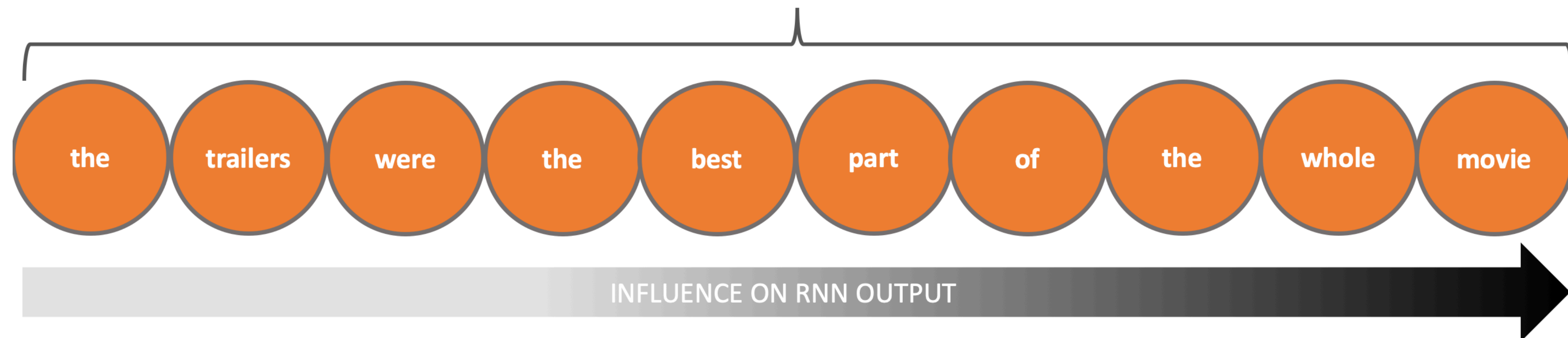


# Past: Recurrent Neural Networks

- **Limitations.**

- Struggles to capture long-term dependencies.
  - Vanishing / Exploding Gradient  
(LSTMs have explicit modules for “long-term memory”)
- Difficult to scale up—sequential computation is forced.

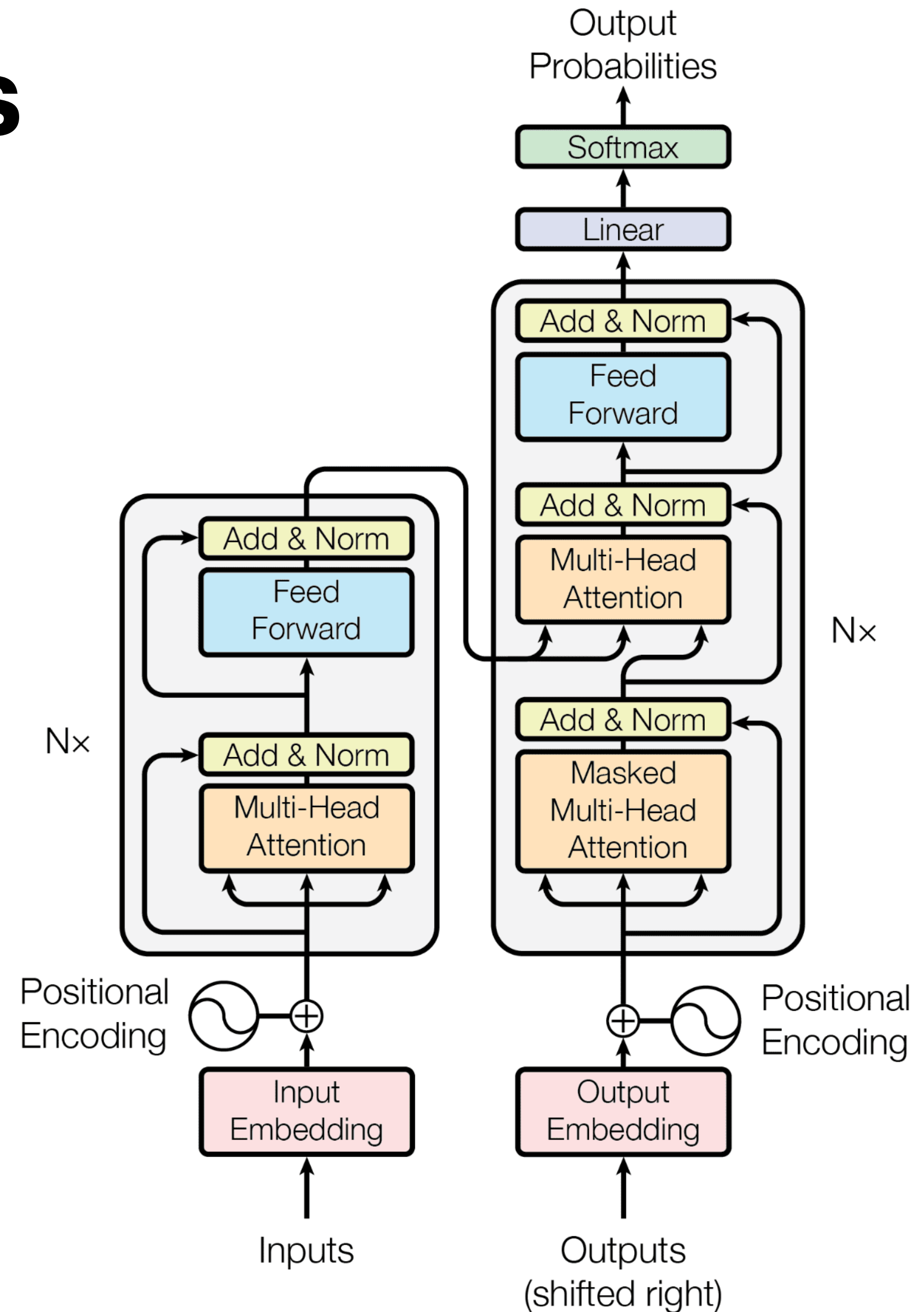
*“the trailers were the best part of the whole movie.”*



# Transformers

## Key concepts

- Tokenize words
- Map tokens into embeddings
- Transformer blocks
- Positional Encoding
- Linear Prediction Head



# (1) Tokenization: Words → Tokens

- Maps a word to one or more tokens.

In the fascinating world of large language models (LLMs), much attention is given to model architectures, data processing, and optimization. However, decoding strategies like beam search, which play a crucial role in text generation, are often overlooked. In this article, we will explore how LLMs generate text by delving into the mechanics of greedy search and beam search, as well as sampling techniques with top-k and nucleus sampling.

TEXT    TOKEN IDS

```
[644, 279, 27387, 1917, 315, 3544, 4221, 4211, 320, 4178, 22365, 705, 1790, 6666, 374, 2728, 311, 1646, 78335, 11, 828, 8863, 11, 323, 26329, 13, 4452, 11, 48216, 15174, 1093, 24310, 2778, 11, 902, 1514, 264, 16996, 3560, 304, 1495, 9659, 11, 527, 3629, 45536, 13, 763, 420, 4652, 11, 584, 690, 13488, 1268, 445, 11237, 82, 7068, 1495, 555, 1624, 4504, 1139, 279, 30126, 315, 57080, 2778, 323, 24310, 2778, 11, 439, 1664, 439, 25936, 12823, 449, 1948, 12934, 323, 62607, 25936, 13]
```

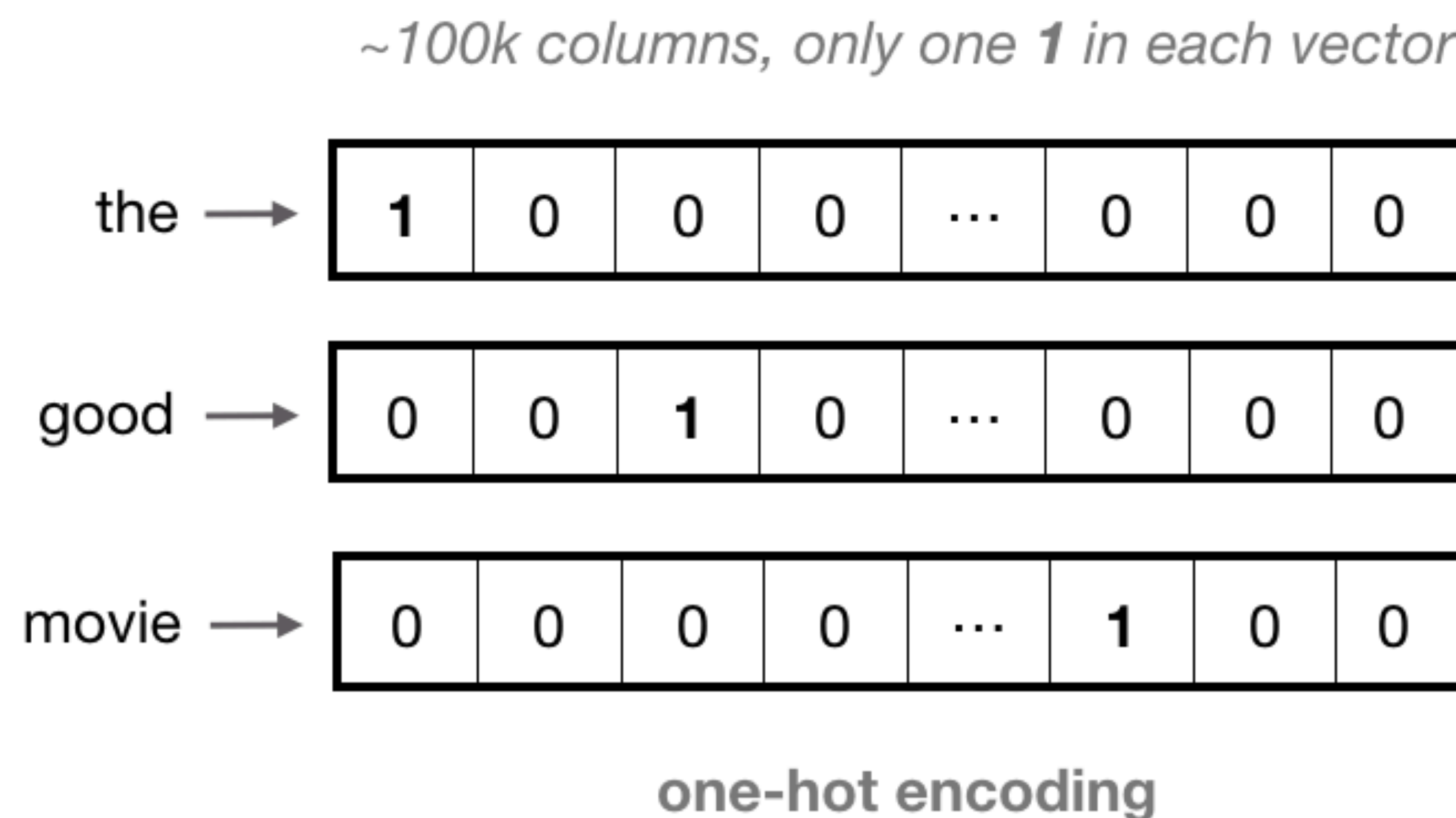
TEXT    TOKEN IDS

# (2) Embedding: Tokens → Embeddings

Maps each token to a high-dimensional vector.

## Example. One-hot encoding

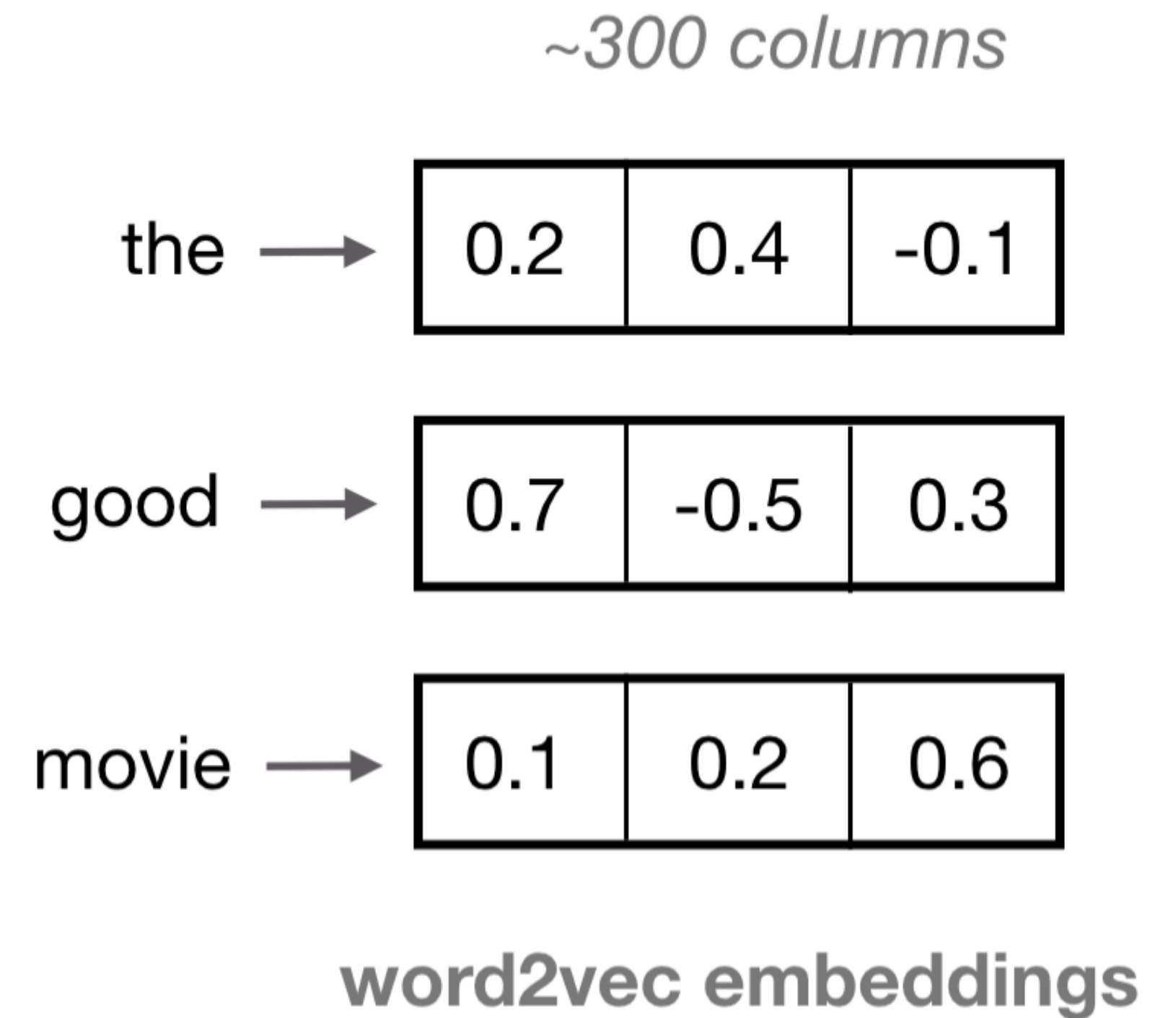
- Easy to build
- Very long, if vocab size is large.
- Very sparse—dimensions wasted?
- No semantics



# (2) Embedding: Tokens $\rightarrow$ Embeddings

**Typical Choice.** Word embedding  
(e.g., Word2Vec, GLoVe)

- Low-dimension
- Values take continuous values
- Learned jointly / separately
  - Rich in semantics
  - Can represent “similarity” by inner prod.

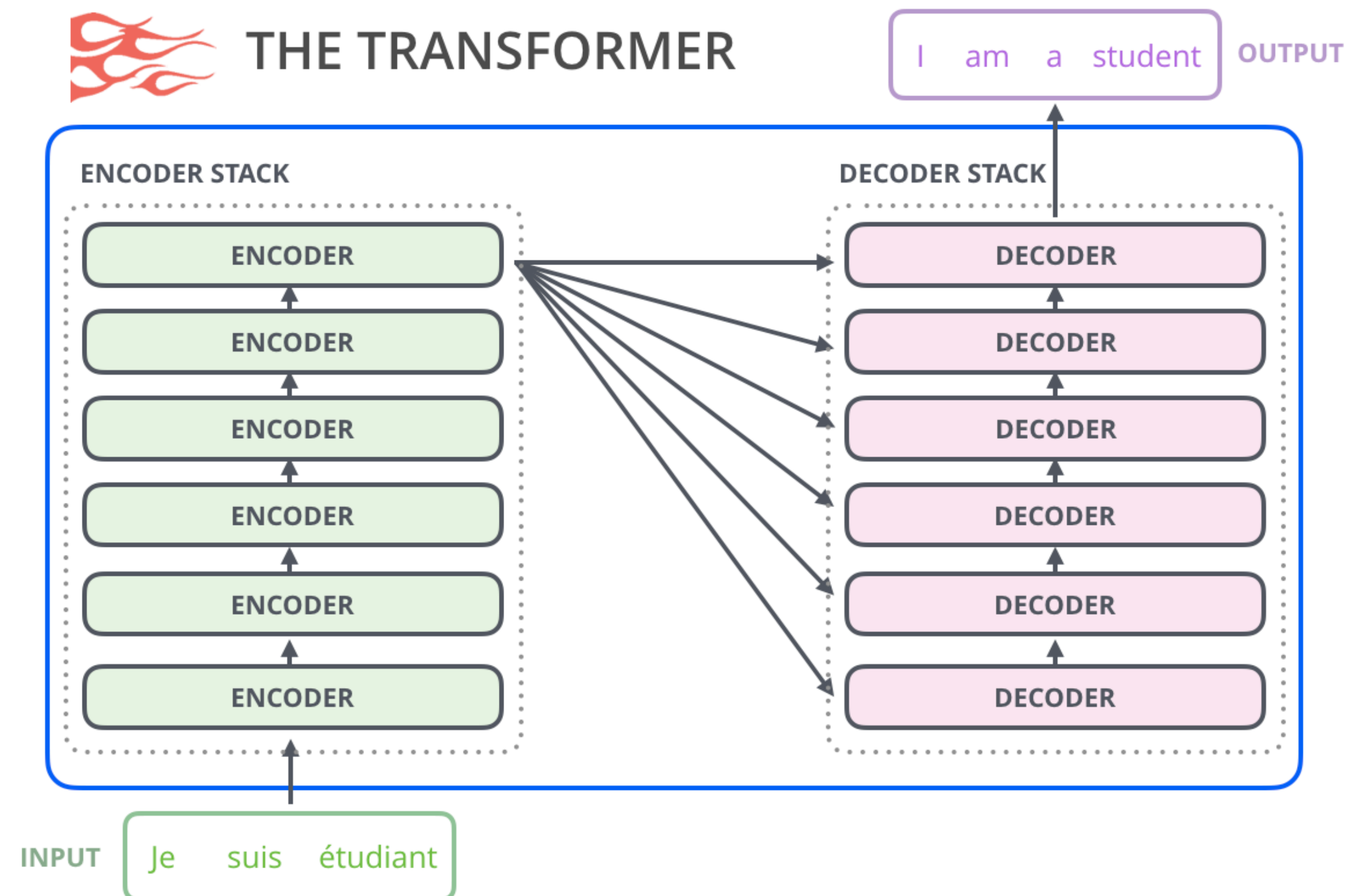




# (3) Transformer Blocks

Transformers consists of a stack of encoders & a stack of decoders

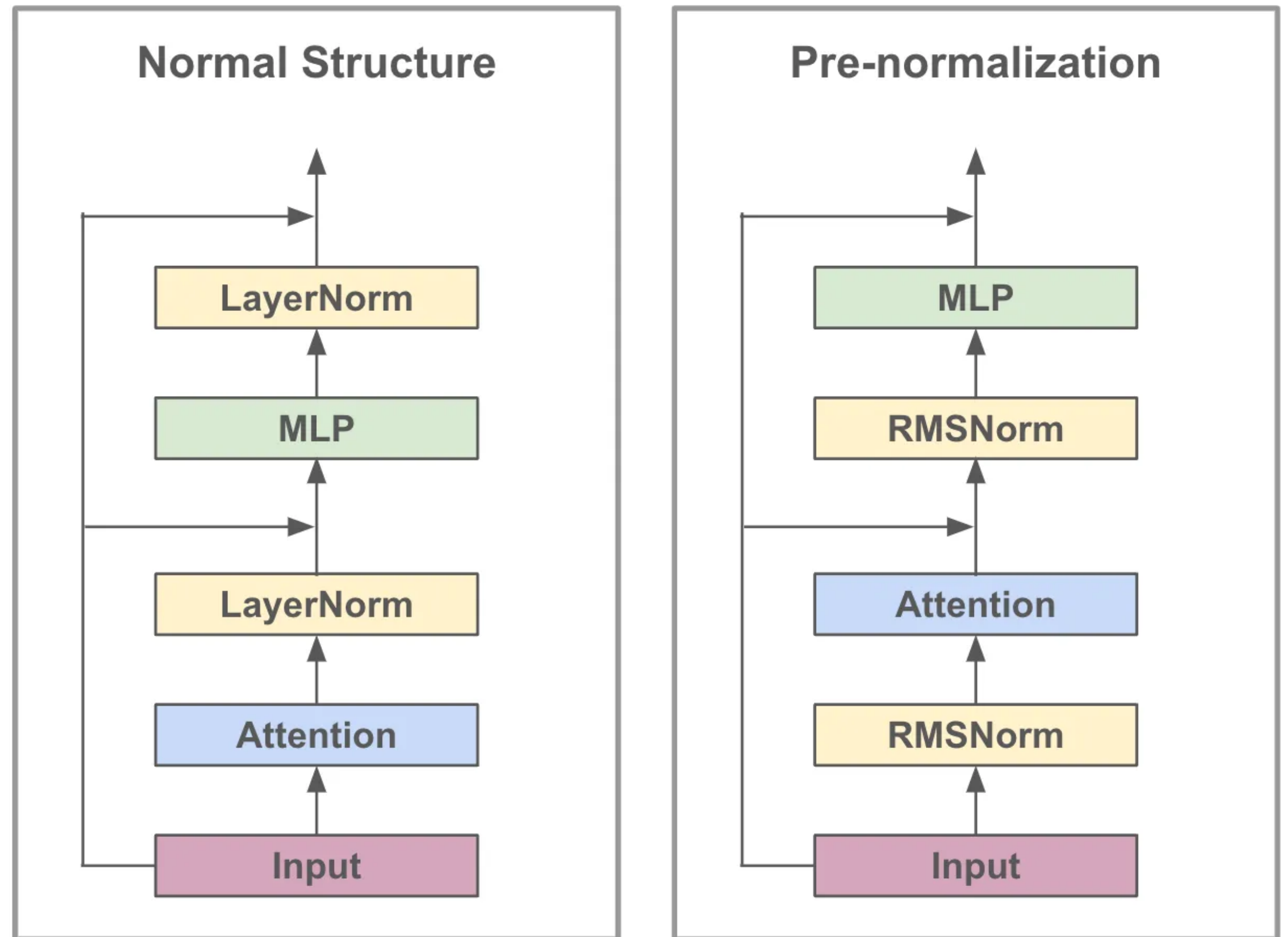
- **Encoder-only:** BERT
- **Decoder-only:** GPT  
(our focus)



# (3) Transformer Blocks

Each encoder/decoder block consists of *four elements*

- Multi-Head Attention (MHA)
- Feed-Forward Network (FFN)
- LayerNorm / RMSNorm
- Residual Connections

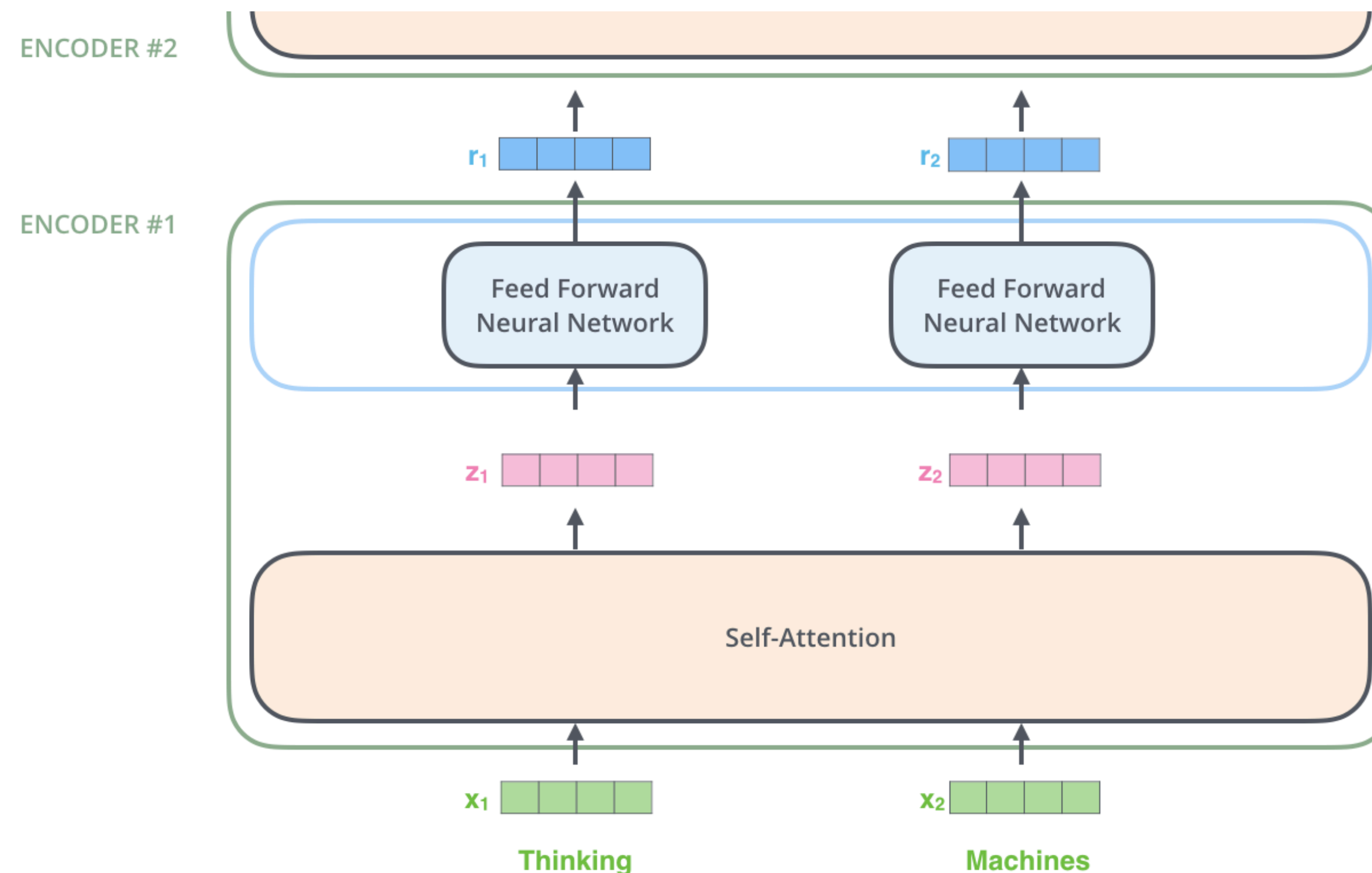


# (3) Transformer Blocks

**MHA.** Generates a vector for each tokens.

- Quantifies the relationship between tokens

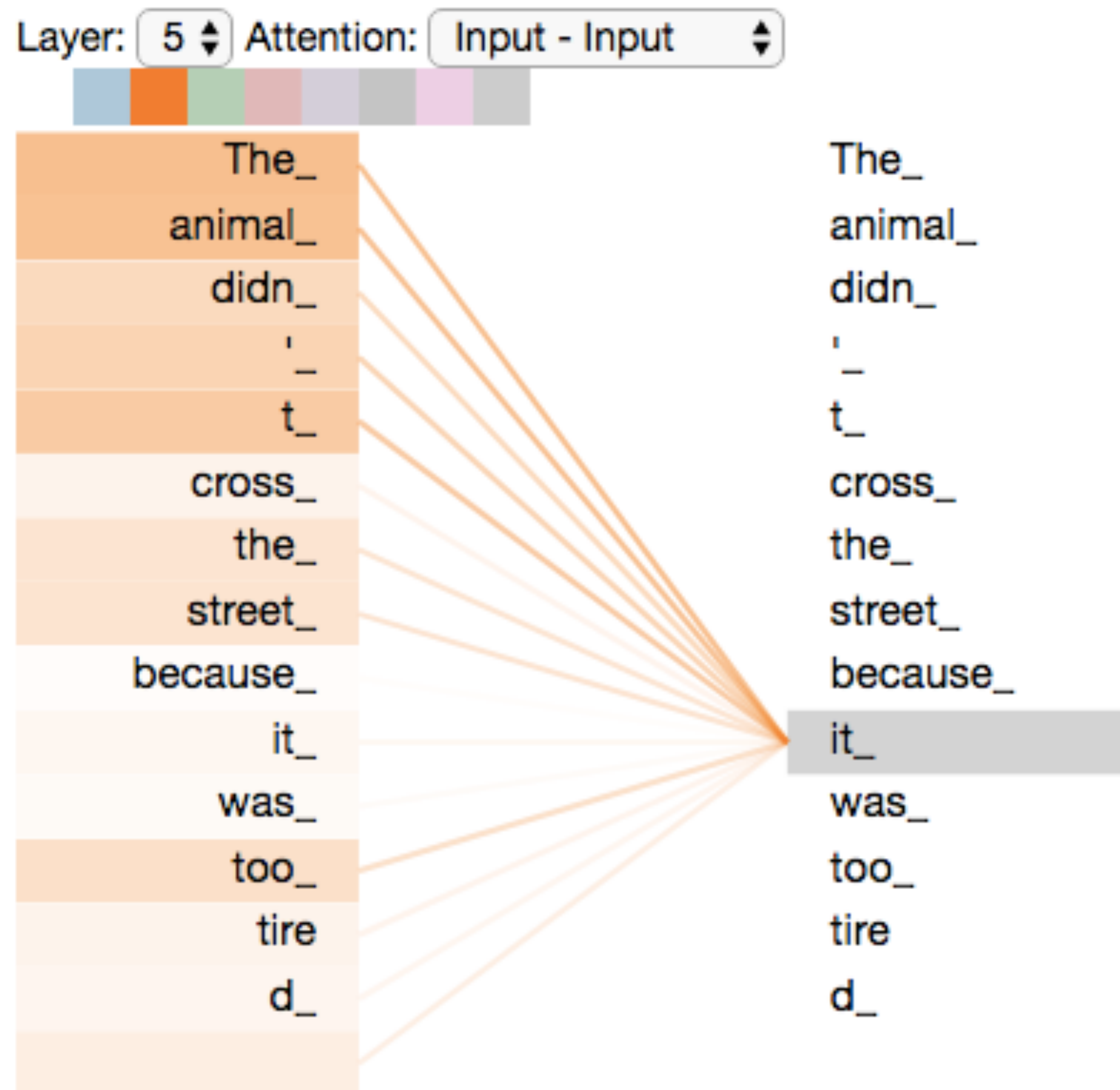
**FFN.** Concatenates and process each vector separately.



# (3-1) Multi-Head Attention

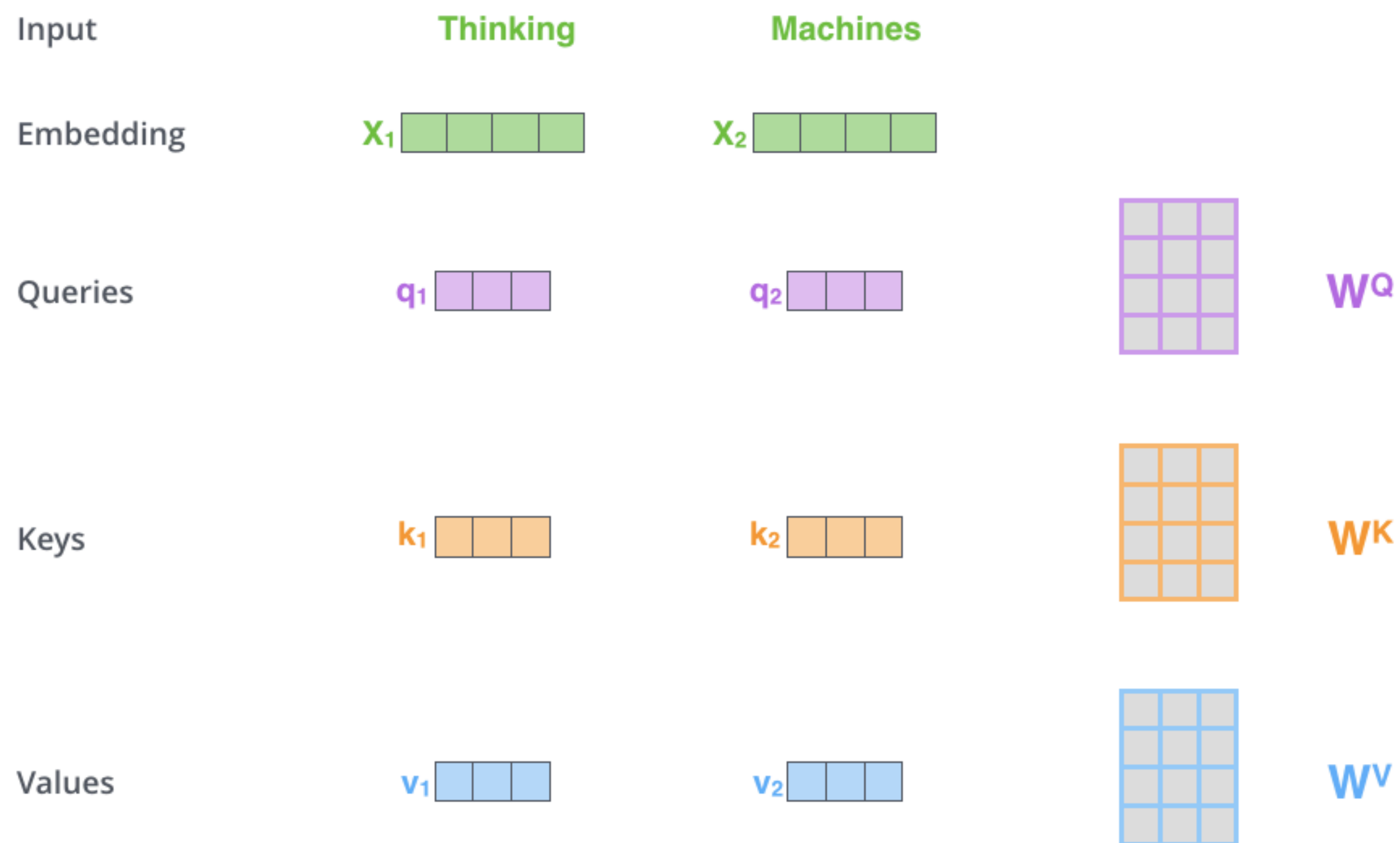
## High-Level Idea

- Quantifies how much the information in a token is related to another token.
  - Q,K,V
  - Q,K  $\rightarrow$  Attention score
  - Attention, V  $\rightarrow$  Output



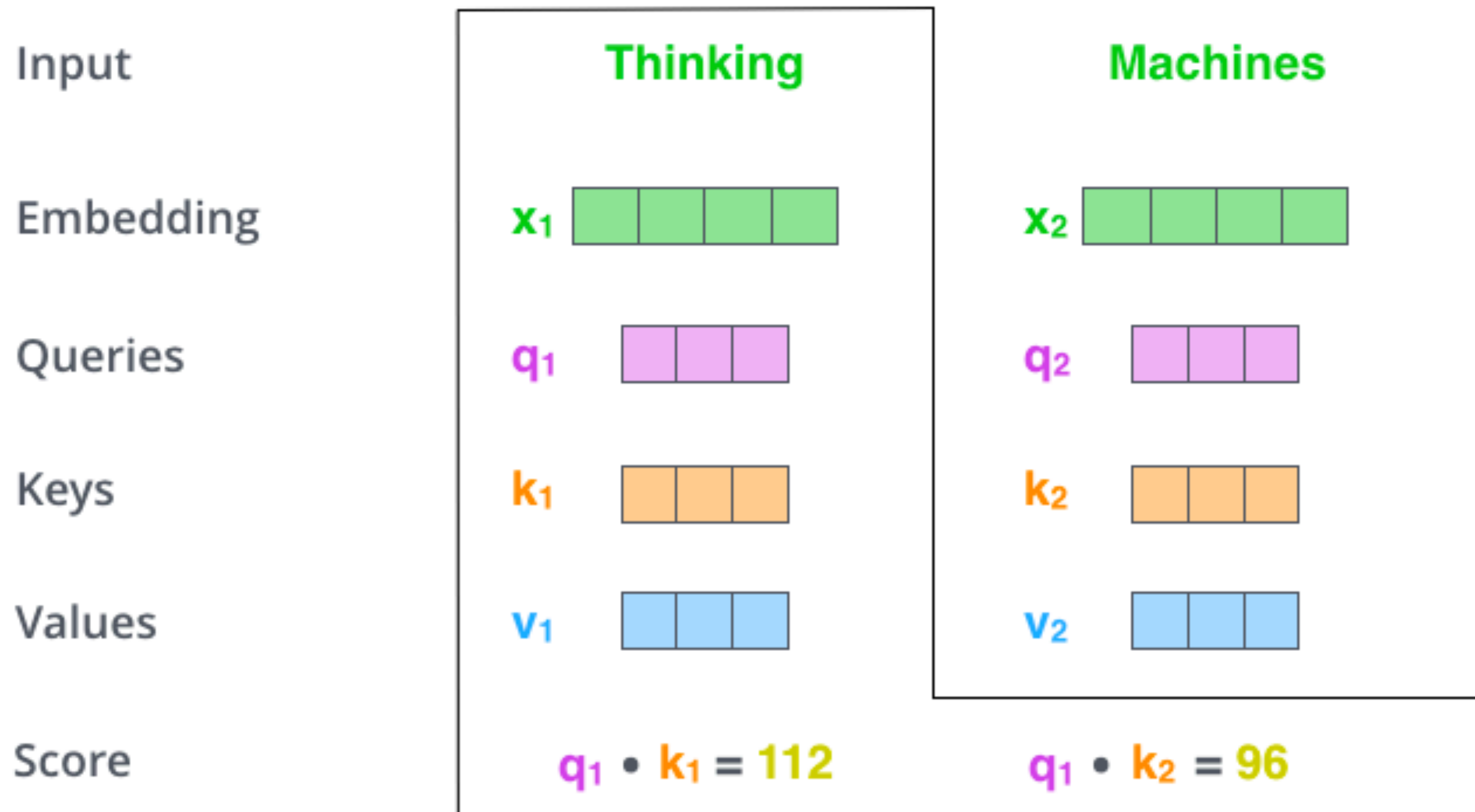
# (3-1) Multi-Head Attention

- **Step 1.** For each **token**, we compute **query**, **key**, and **value**.



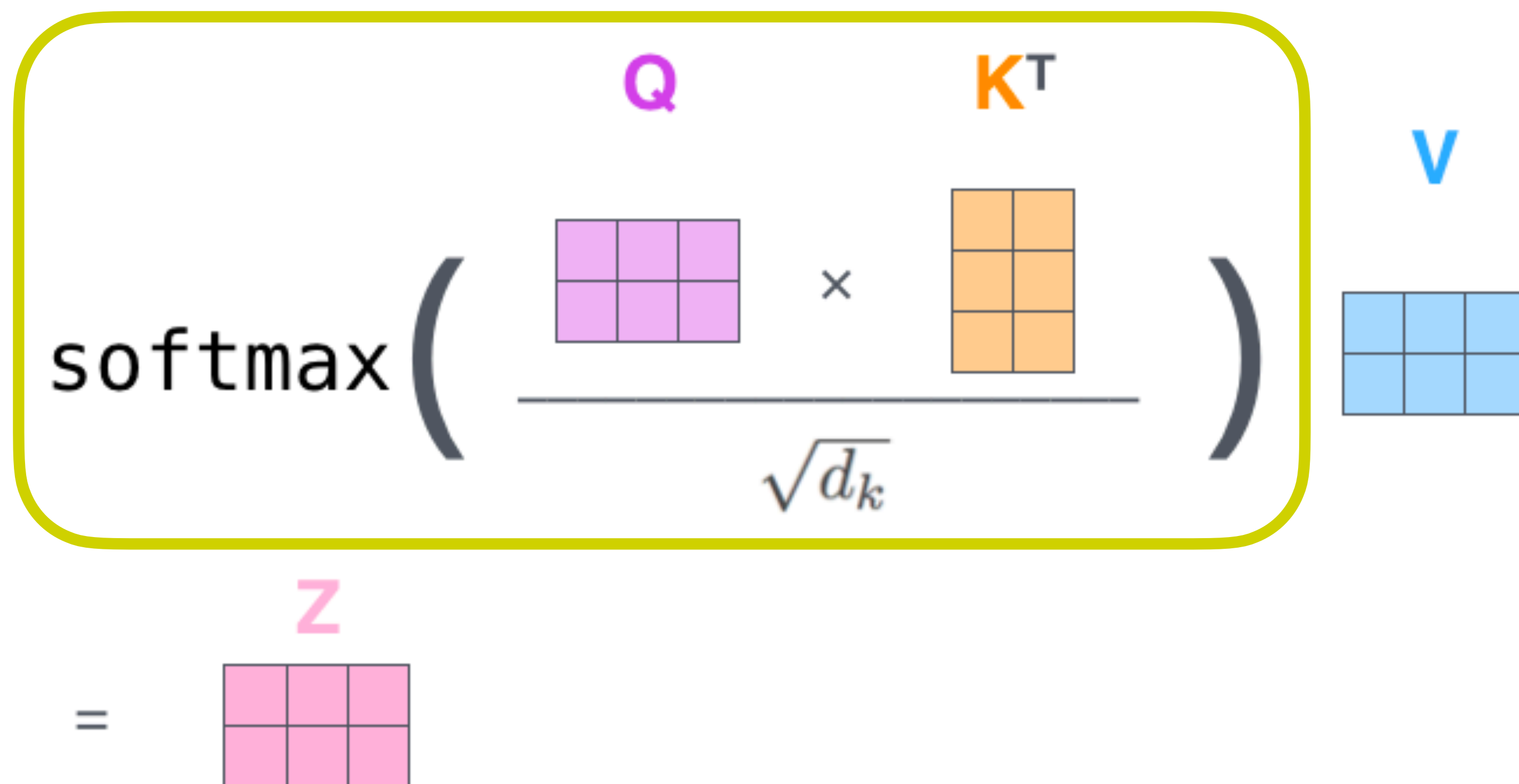
# (3-1) Multi-Head Attention

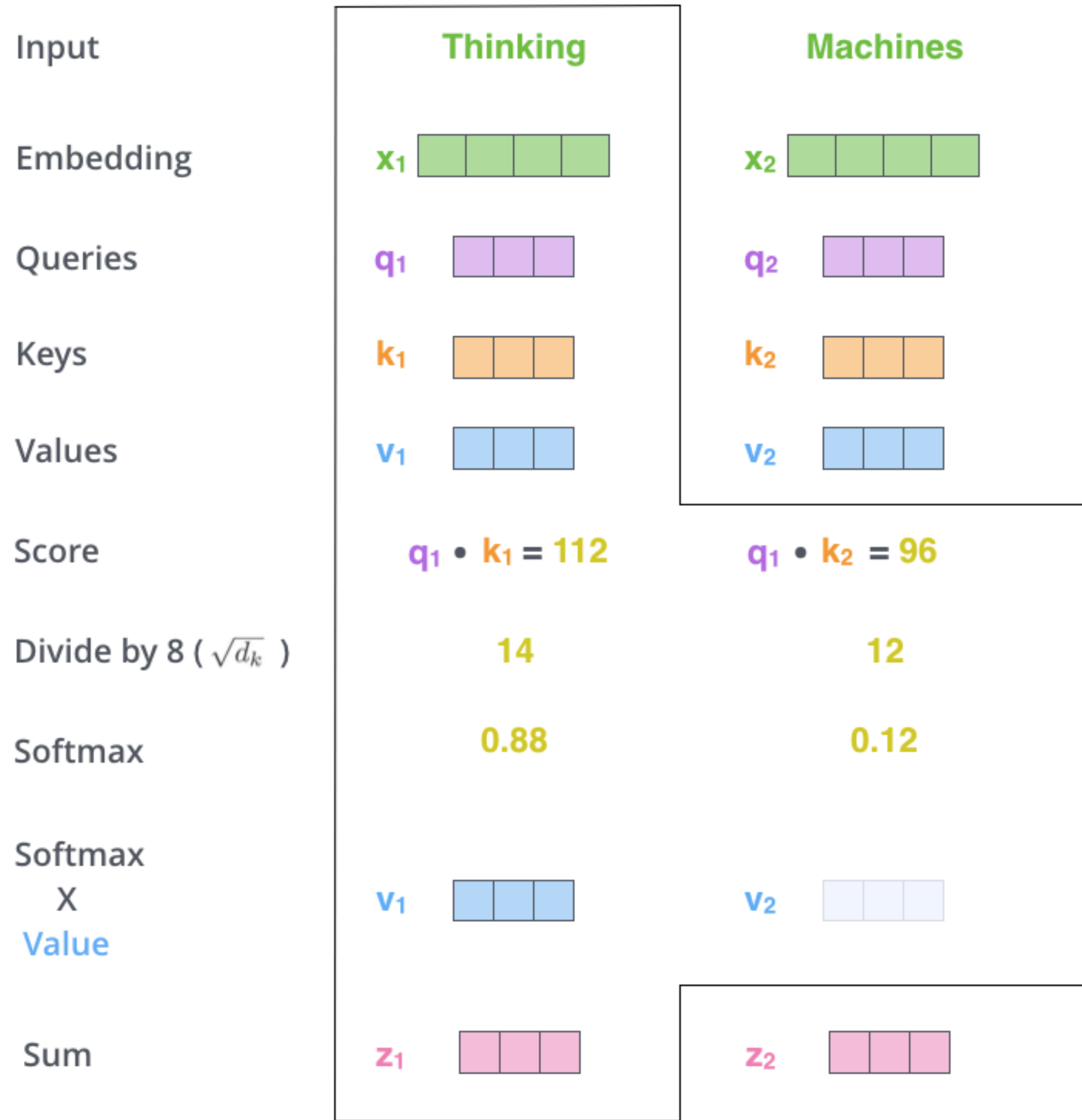
- **Step 2.** Compute **attn scores** from **query** (self) and **key** (self,others)



# (3-1) Multi-Head Attention

- **Step 3.** Compute **output** as a weighted sum of **values**, weighted by the **softmax of attn scores**.





## Computation & Memory

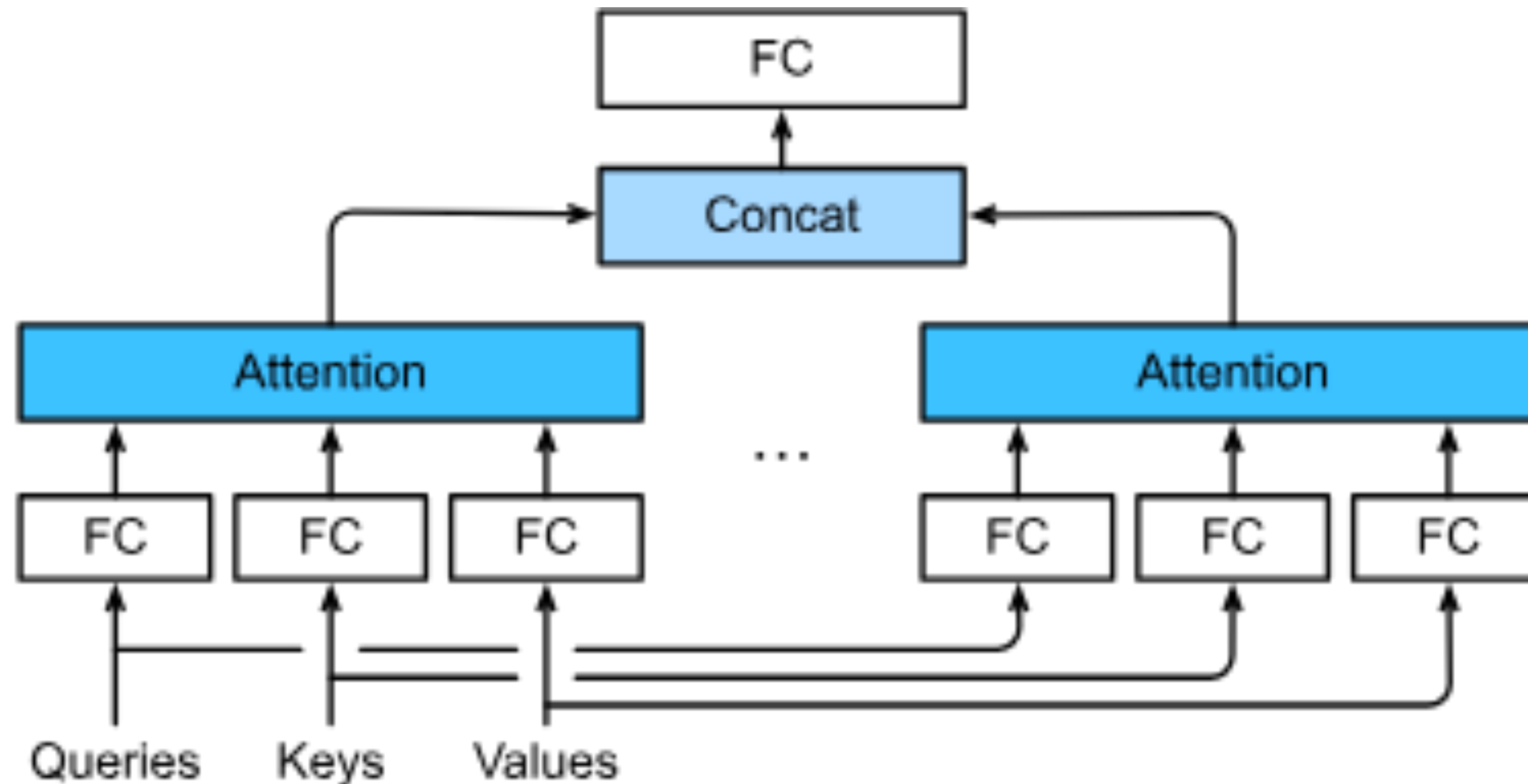
Suppose that we have  $n$  tokens.  
We compute...

- Q/K/V for each tokens,
  - $O(n)$
- Attention for each Q-K pairs
  - $O(n^2)$
- Weighted sum
  - $O(n^2)$



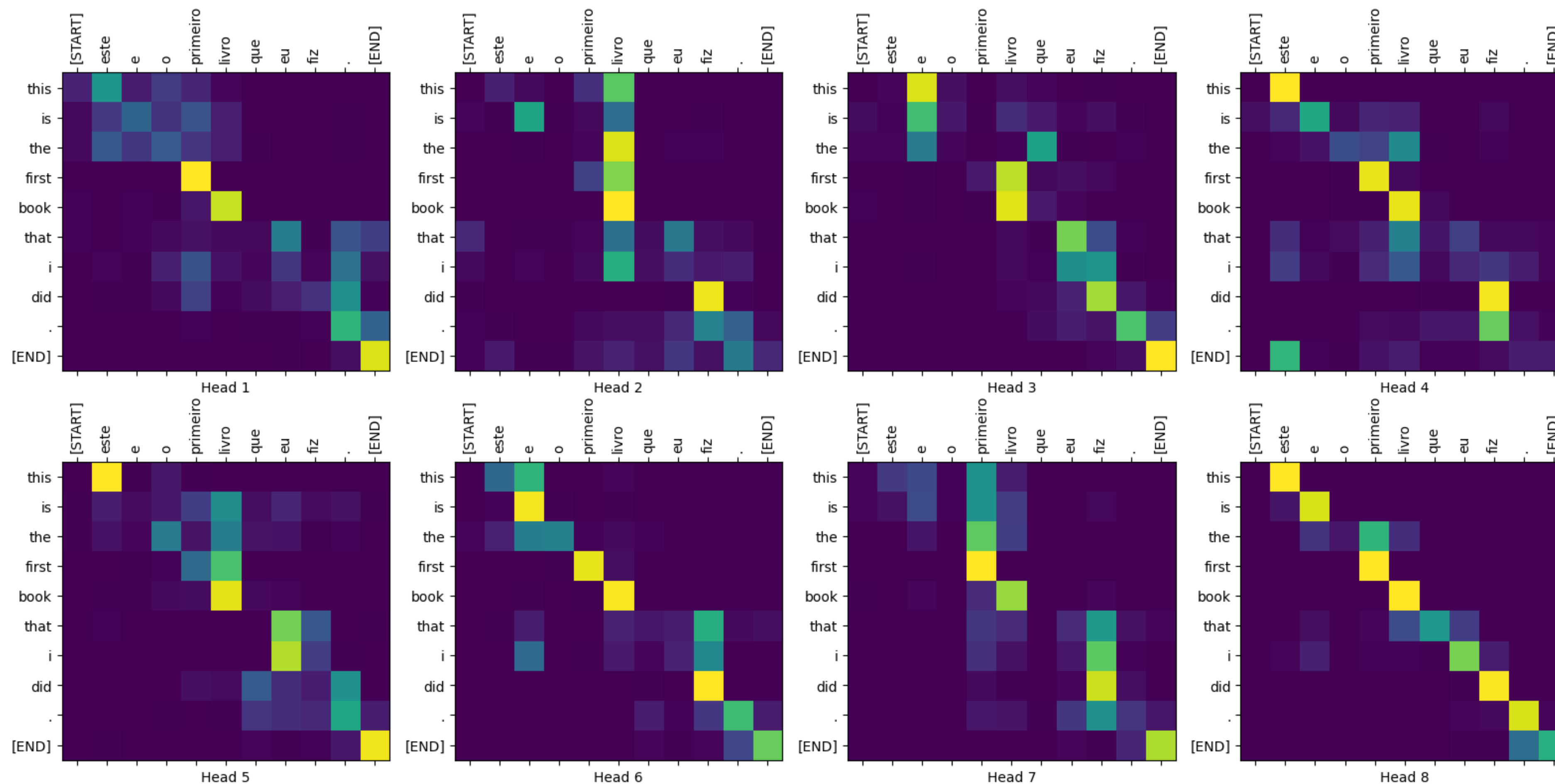
# (3-1) Multi-Head Attention

- **Multi-Head.** We have multiple parallel attention layers.  
—> Concatenate the outputs, and do linear projection.



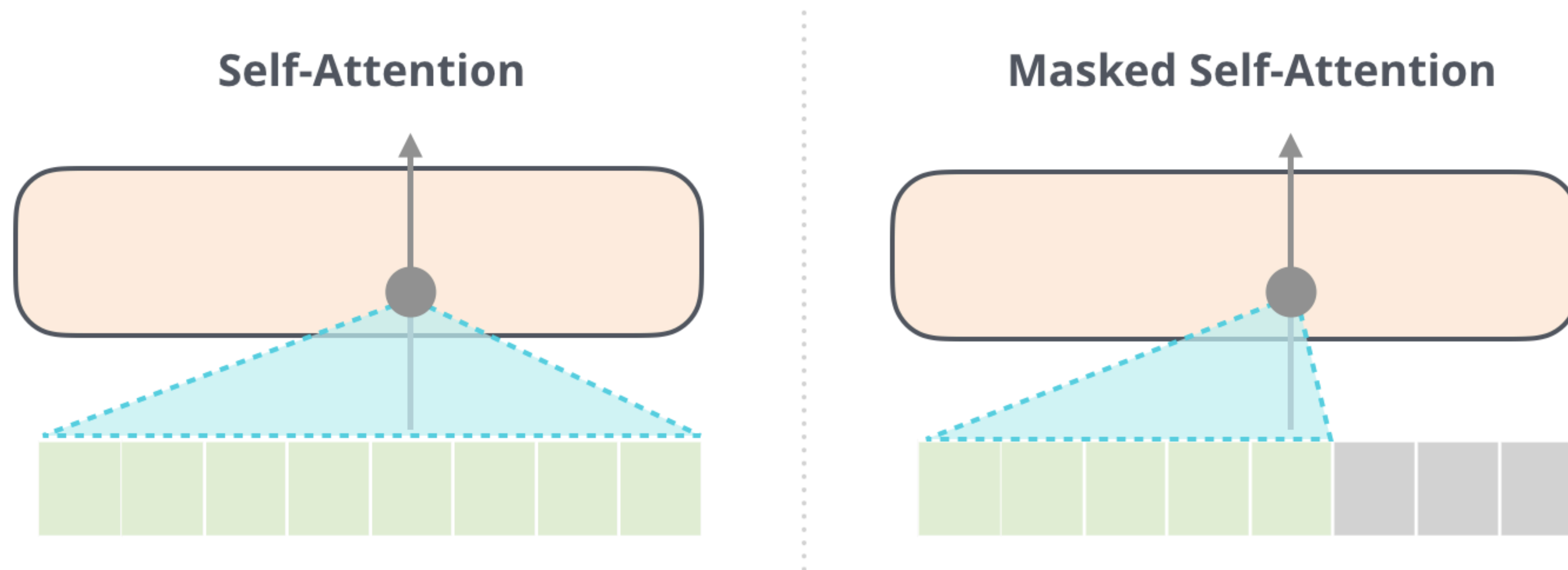
# (3-1) Multi-Head Attention

- Heads can capture diverse attention patterns.



# (3-1) Multi-Head Attention

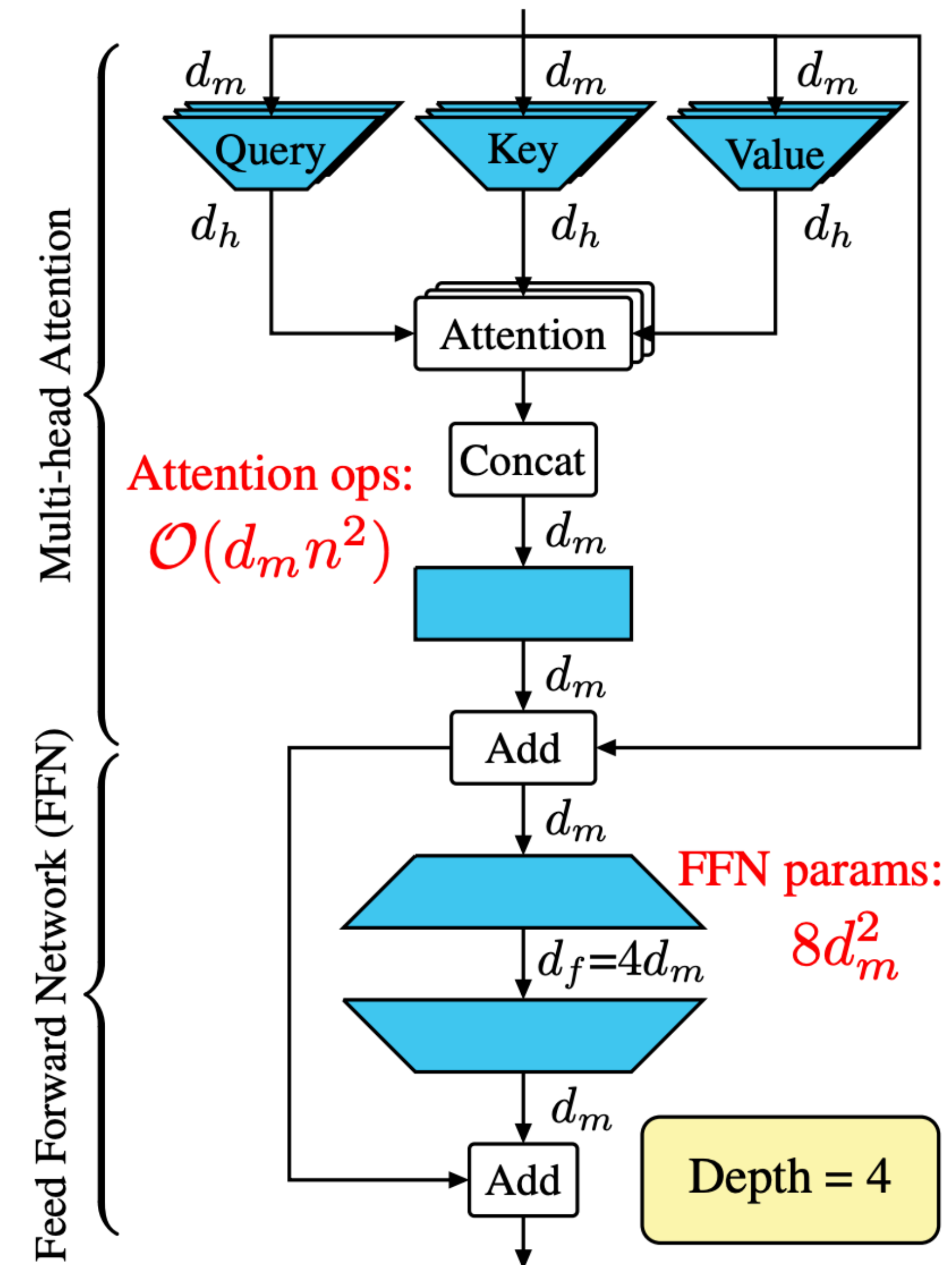
- In decoders, the self-attention layers is *masked*:
  - Can only see previous inputs to generate current output.



# (3-2) Feed-Forward Network

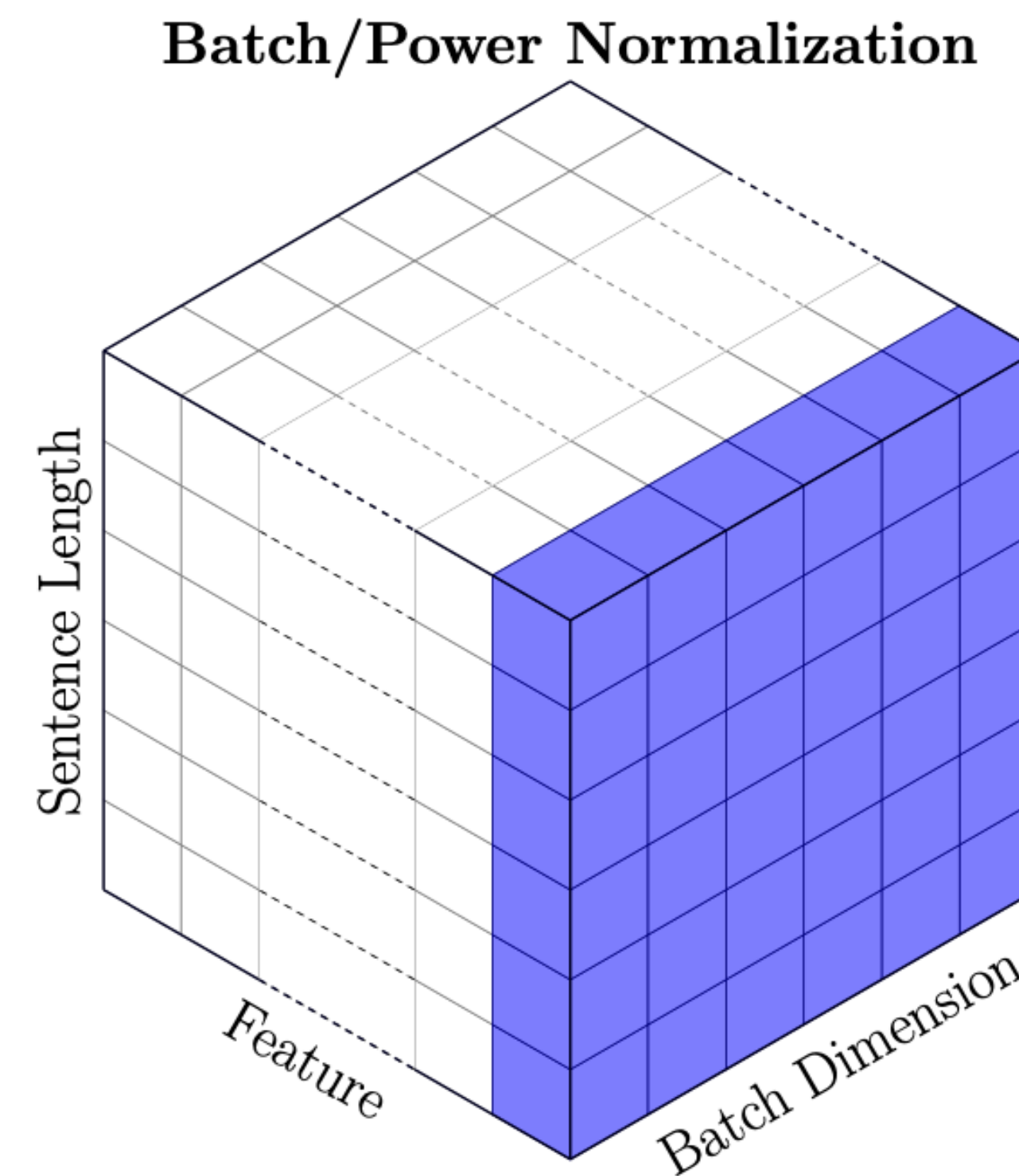
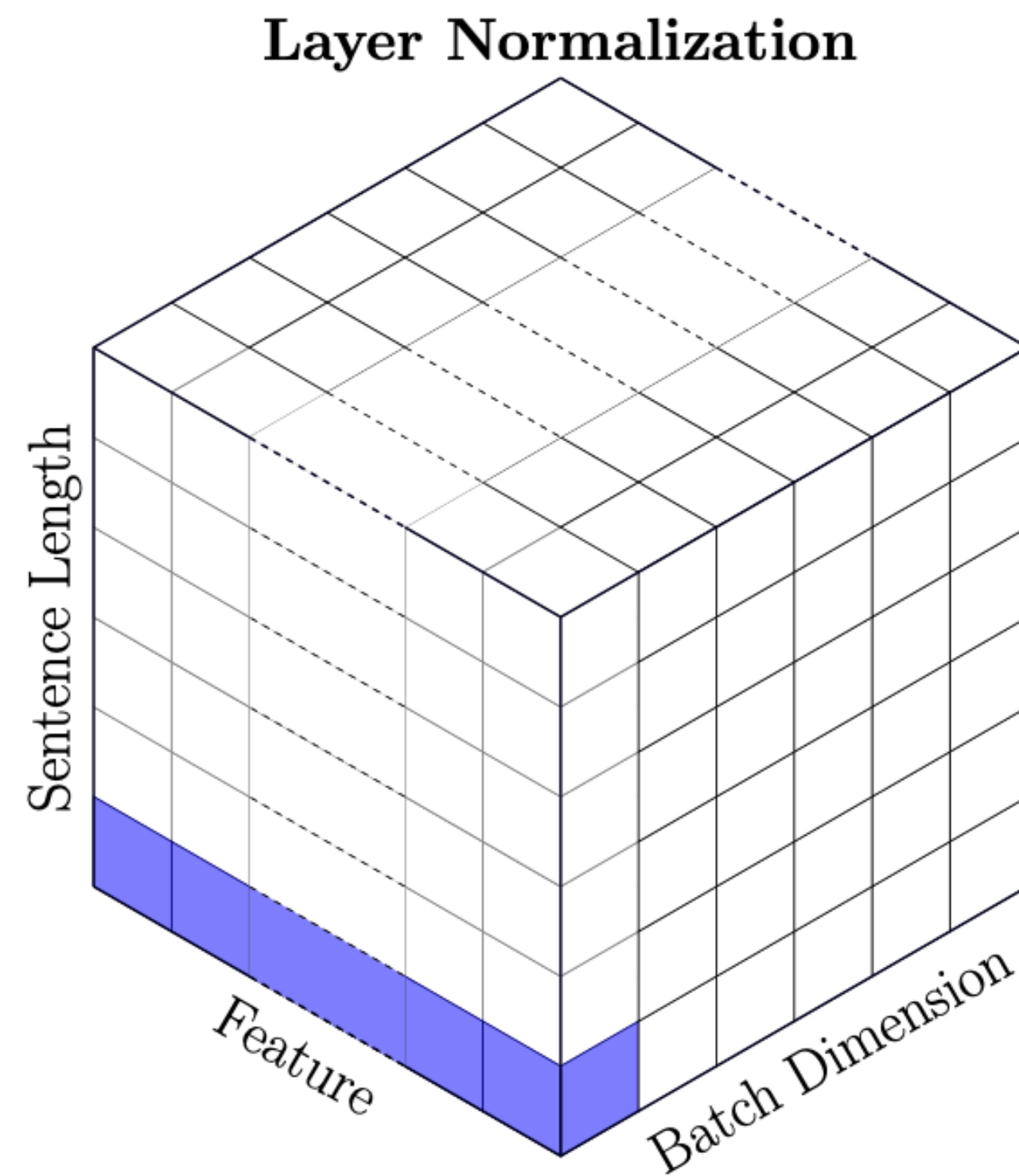
- Fully-connected layers that follow the MHA.
  - Inverted bottleneck.
  - Compute-Heavy

1	description	FLOPs / update	% FLOPs MHA	% FLOPs FFN	% FLOPs attn	% FLOPs logit
8	OPT setups					
9	760M	4.3E+15	35%	44%	14.8%	5.8%
10	1.3B	1.3E+16	32%	51%	12.7%	5.0%
11	2.7B	2.5E+16	29%	56%	11.2%	3.3%
12	6.7B	1.1E+17	24%	65%	8.1%	2.4%
13	13B	4.1E+17	22%	69%	6.9%	1.6%
14	30B	9.0E+17	20%	74%	5.3%	1.0%
15	66B	9.5E+17	18%	77%	4.3%	0.6%
16	175B	2.4E+18	17%	80%	3.3%	0.3%



# (3-3) LayerNorm

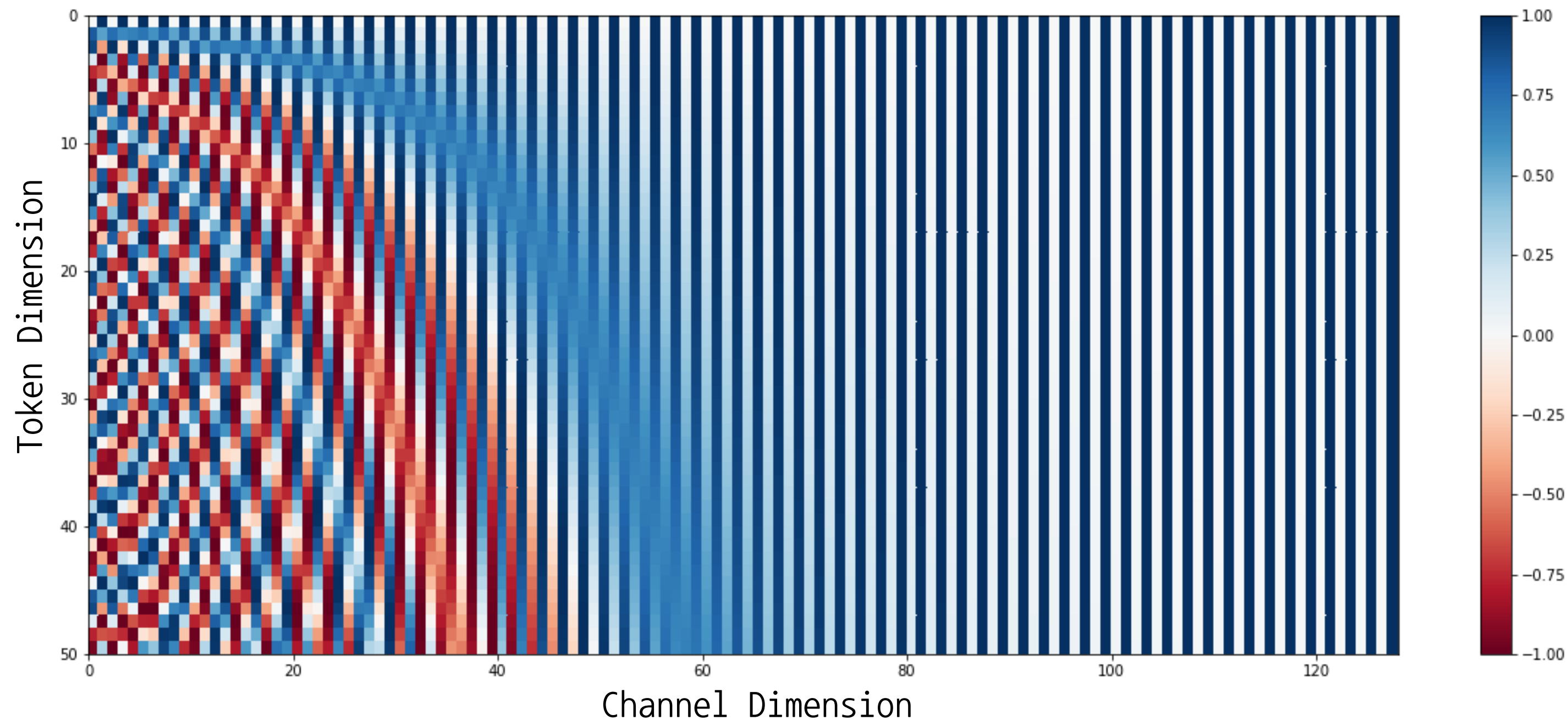
- Same as BatchNorm, but normalizes in *feature dimension*.
- Applied for each sample, each token.



# (4) Positional Encodings

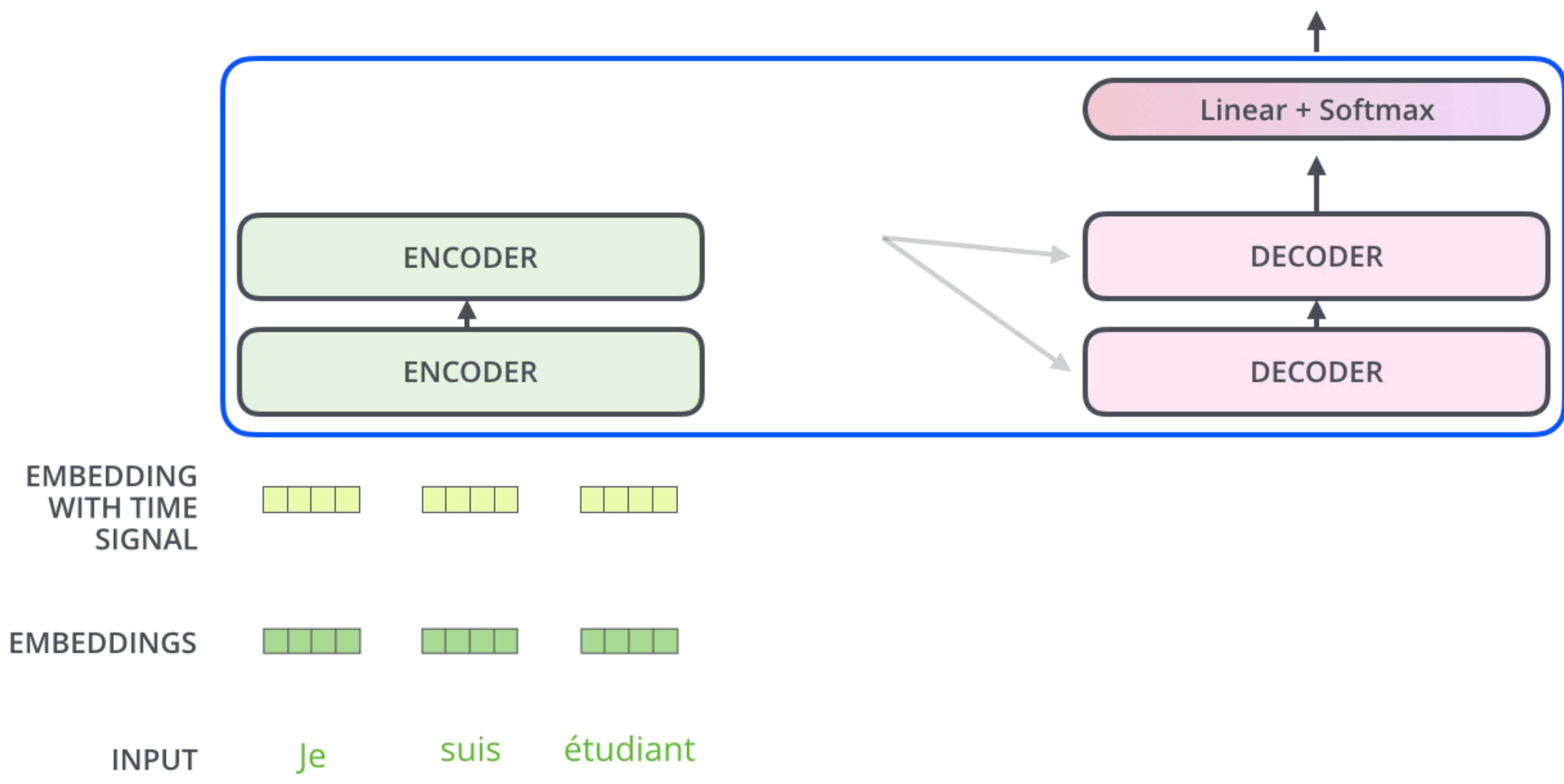
- Transformer architecture disregards the position information!
- To resolve this, we simply **add** position-specific info on the data.

$$\vec{p}_t^{(i)} = f(t)^{(i)} := \begin{cases} \sin(\omega_k \cdot t), & \text{if } i = 2k \\ \cos(\omega_k \cdot t), & \text{if } i = 2k + 1 \end{cases} \quad \omega_k = \frac{1}{10000^{2k/d}}$$



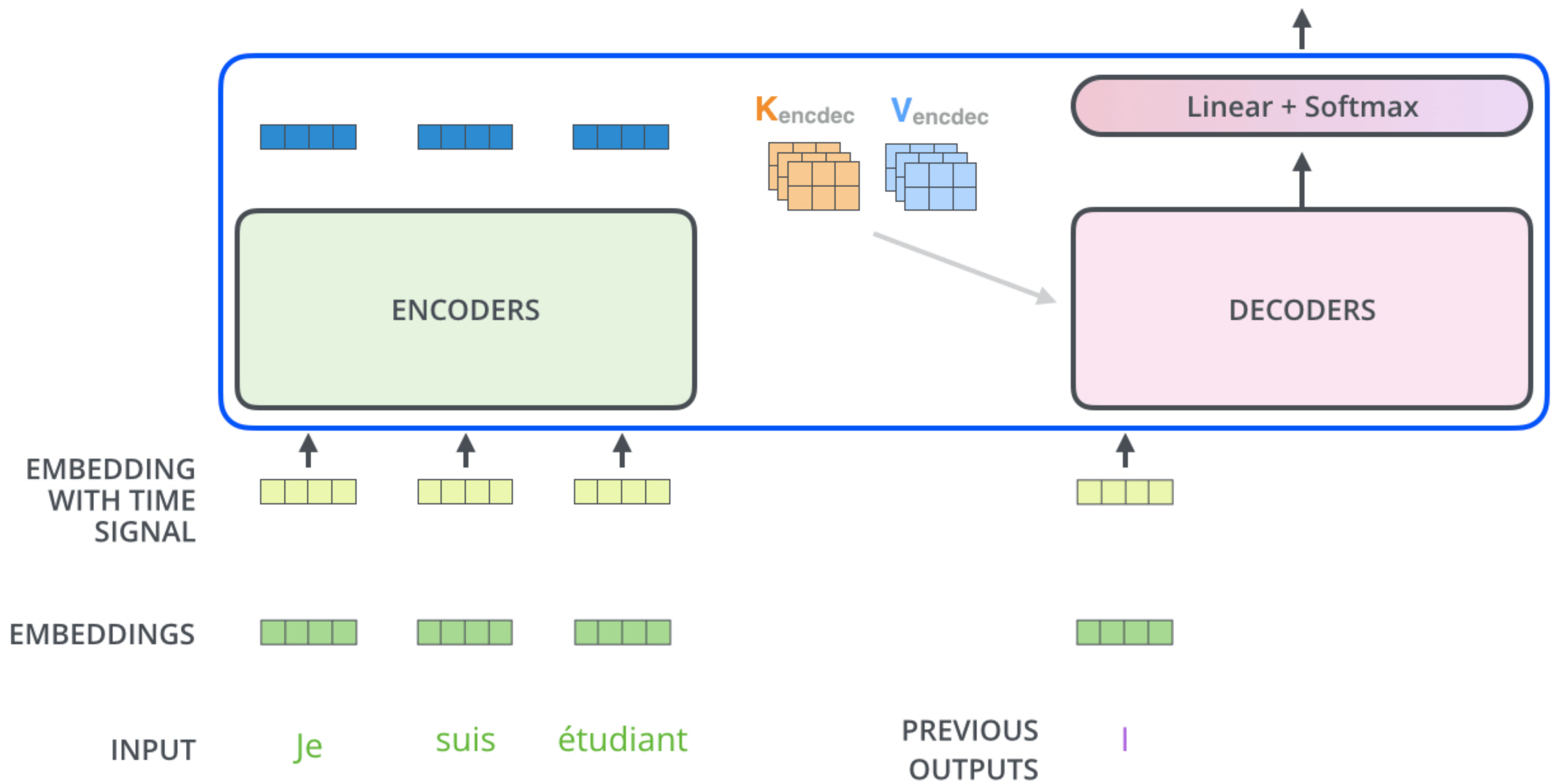
Decoding time step: 1 2 3 4 5 6

OUTPUT



Decoding time step: 1 2 3 4 5 6

OUTPUT |





# More references

## Beginner

- Jay Alammam, “The Illustrated Transformer”
  - <https://jalammam.github.io/illustrated-transformer/>

## Advanced

- Phuong and Hutter, “Formal Algorithms for Transformers,” 2022
  - <https://arxiv.org/abs/2207.09238>
- He and Hoffman, “Simplifying Transformer Blocks,” 2023
  - <https://arxiv.org/abs/2311.01906>

# Cheers

- Next up. Training Language Models